

## Electronic Acknowledgement Receipt

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|---------------------------------------------|------------------------------------------------------------------------------------|
| <b>EFS ID:</b>                              | 2544621                                                                            |
| <b>Application Number:</b>                  | 10634415                                                                           |
| <b>International Application Number:</b>    |                                                                                    |
| <b>Confirmation Number:</b>                 | 7943                                                                               |
| <b>Title of Invention:</b>                  | System and method for price-based annotations in an electronic trading environment |
| <b>First Named Inventor/Applicant Name:</b> | Ryan J. Highland                                                                   |
| <b>Customer Number:</b>                     | 39310                                                                              |
| <b>Filer:</b>                               | Jori Rebecca Schiffman                                                             |
| <b>Filer Authorized By:</b>                 |                                                                                    |
| <b>Attorney Docket Number:</b>              | 03-767                                                                             |
| <b>Receipt Date:</b>                        | 04-DEC-2007                                                                        |
| <b>Filing Date:</b>                         | 05-AUG-2003                                                                        |
| <b>Time Stamp:</b>                          | 15:14:14                                                                           |
| <b>Application Type:</b>                    | Utility under 35 USC 111(a)                                                        |

### Payment information:

|                                          |                 |
|------------------------------------------|-----------------|
| Submitted with Payment                   | yes             |
| Payment Type                             | Deposit Account |
| Payment was successfully received in RAM | \$420           |
| RAM confirmation Number                  | 517             |
| Deposit Account                          | 132490          |
| Authorized User                          |                 |

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

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**File Listing:**

| Document Number                                            | Document Description                             | File Name       | File Size(Bytes) /Message Digest                    | Multi Part /.zip | Pages (if appl.) |
|------------------------------------------------------------|--------------------------------------------------|-----------------|-----------------------------------------------------|------------------|------------------|
| 1                                                          |                                                  | 03-767_Resp.pdf | 134691<br>d7147b5a11efae1db205336a633f2682d58b1c4d9 | yes              | 12               |
| <b>Multipart Description/PDF files in .zip description</b> |                                                  |                 |                                                     |                  |                  |
|                                                            | <b>Document Description</b>                      |                 | <b>Start</b>                                        |                  | <b>End</b>       |
|                                                            | Amendment - After Non-Final Rejection            |                 | 1                                                   |                  | 1                |
|                                                            | Claims                                           |                 | 2                                                   |                  | 7                |
|                                                            | Applicant Arguments/Remarks Made in an Amendment |                 | 2                                                   |                  | 12               |

**Warnings:****Information:**

|   |                        |              |                                                  |    |   |
|---|------------------------|--------------|--------------------------------------------------|----|---|
| 2 | Fee Worksheet (PTO-06) | fee-info.pdf | 8178<br>9532c9c3b11ed7c1fa06cd514dba1c821679e33a | no | 2 |
|---|------------------------|--------------|--------------------------------------------------|----|---|

**Warnings:****Information:**

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| <b>Total Files Size (in bytes):</b> | 142859 |
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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.